

-- 3 Pages --

RECEIVED
CENTRAL FAX CENTER

NOV 10 2006

VIA FACSIMILE
(571) 273-8300

November 10, 2006

Re: Applicant(s): Nielsen, James T.; et. al.
Assignee: Cardica, Inc.
Title: Surgical Apparatus and Method for Anastomosis
Serial No.: 10/750,712
Examiner: Michael T. Andersen
Docket No.: 149
Filed: Dec. 31, 2003
Group Art Unit: 3734

Transmitted herewith are the following documents in the above-identified application:

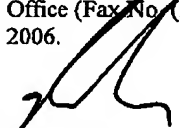
- (1) This Transmittal Letter;
- (2) Information Disclosure Statement; and
- (3) Form PTO-1449.

Please charge \$180.00 to Deposit Account Number ²¹⁰⁶502108 under 37 CFR 1.17(p) for submission of an Information Disclosure Statement after mailing of an Office Action.


Please charge any additional fees required and credit any overpayment to our Deposit Account No. 502108.

Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office (Fax No. (571) 273-8300) on November 10, 2006.


Brian Schar

Respectfully submitted,


Brian A. Schar
Reg. No. 45,076
Attorney for Cardica, Inc.
900 Saginaw Drive
Redwood City, CA 94063
(650) 331-7162

11/13/2006 SDIRETA1 00000026 502108 10750712

01 FC:1806 180.00 DA

BEST AVAILABLE COPY

NOV 10 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nielsen, James T.; et. al.
Assignee: Cardica, Inc.
Title: Surgical Apparatus and Method for Anastomosis
Serial No.: 10/750,712 Filing Date: December 31, 2003
Examiner: Michael T. Andersen Group Art Unit: 3734
Docket No.: 149


INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

Respectfully submitted,



Brian A. Schar
Attorney for Cardica, Inc.
Reg. No. 45,076
900 Saginaw Drive
Redwood City, CA 94063

BEST AVAILABLE COPY

NOV 10 2006

PTO/88/08a(07-05)

Approved for use through 7/31/2006. OMB 0531-0031
US Patent & Trademark Office, U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	10/750,712
				Filing Date	December 31, 2003
				First Named Inventor	Nielsen, James
				Art Unit	3734
				Examiner Name	Andersen, Michael T.
Sheet	1	of	1	Attorney Docket No: 149	

US PATENT DOCUMENTS					
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date if Appropriate
		US-2001/0023353	09/20/2001	Vargas, J., et al.	
		US-2002/0095166	07/18/2002	Vargas, J., et al.	
		US-4318313	03/09/1982	Tartaglia, John A., et al.	
		US-5376095	12/27/1994	Ortiz, Mark S.	
		US-5620452	04/15/1997	Yoon, InBae	
		US-6200263	03/13/2001	Person, Wayne C.	
		US-6394948	05/28/2002	Borst et al.	
		US-6821286	11/23/2004	Carranza, Jose R., et al.	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)
 * EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

BEST AVAILABLE COPY